



S&H Form: (2/01) DOCKET NO. 1826.1183

JFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Rainer HAINBERGER et al.

Serial No: 10/596,602

Group Art Unit: 2828

Confirmation No. 8349

Filed: June 19, 2006

Examiner:

For: ADAPTIVE POLARIZATION ADJUSTMENT APPARATUS FOR CONTROLLING
POLARIZATION OF LIGHT INPUTTED TO POLARIZATION-MAINTAINING
WAVEGUIDE COMPONENTS

SECOND REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

It is requested that the title of the invention on the Official Filing Receipt be corrected to specify **ADAPTIVE POLARIZATION ADJUSTMENT APPARATUS FOR CONTROLLING POLARIZATION OF LIGHT INPUTTED TO POLARIZATION-MAINTAINING WAVEGUIDE COMPONENTS**, as is evidenced by the copy of the executed Declaration, attached to the application as filed. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been noted in red.

It is requested that a corrected Official Filing Receipt be issued in this application.

Respectfully submitted,

STAAS & HALSEY LLP

Date: 11/21/07 By: J. Randall Beckers
J. Randall Beckers
Registration No. 30,358

1201 New York Ave, N.W., 7th Floor
Washington, D.C. 20005
Telephone: (202) 434-1500
Facsimile: (202) 434-1501



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APPL NO.	FILING OR 371(C) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	TOT CLMS	IND CLMS
10/596,602	06/19/2006	2828	1000	1826.1183	22	1

CONFIRMATION NO. 8349

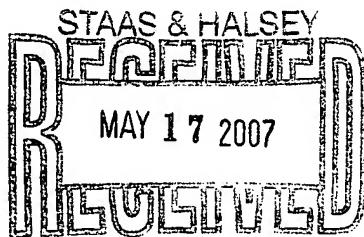
CORRECTED FILING RECEIPT



OC00000002373638

Date Mailed: 05/07/2007

21171
 STAAS & HALSEY LLP
 SUITE 700
 1201 NEW YORK AVENUE, N.W.
 WASHINGTON, DC 20005



Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Rainer Hainberger, Wien, AUSTRIA;
 Shigeki Watanabe, Kawasaki, JAPAN;

Assignment For Published Patent Application

Fujitsu Limited, Kawasaki, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 21171.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/04187 03/25/2004

Foreign Applications

If Required, Foreign Filing License Granted: 01/09/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/596,602**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

Adaptive polarization adjustment apparatus for controlling polarization of light [inputted to
polarization-maintaining waveguide components]

-- inputted --

Preliminary Class

372

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Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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Declaration and Power of Attorney for Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

私は、以下に記名された発明者として、ここに下記の通り宣言する：

As a below named inventor, I hereby declare that:

私の住所、郵便の宛先そして国籍は、私の氏名の後に記載された通りである。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明について、特許請求範囲に記載され、且つ特許が求められている発明主題に関して、私は、最初、最先且つ唯一の発明者である（唯一の氏名が記載されている場合）か、或いは最初、最先且つ共同発明者である（複数の氏名が記載されている場合）と信じている。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

ADAPTIVE POLARIZATION ADJUSTMENT APPARATUS FOR
CONTROLLING POLARIZATION OF LIGHT INPUTTED TO
POLARIZATION-MAINTAINING WAVEGUIDE
COMPONENTS

上記発明の明細書はここに添付されているが、下記の欄がチェックされている場合は、この限りでない：

the specification of which is attached hereto unless the following box is checked:

の日に出願され、
この出願の米国出願番号またはPCT国際出願番号は、
_____であり、且つ
_____の日に補正された出願（該当する場合）

■ was filed on 25th/March/2004
as United States Application Number or
PCT International Application Number
PCT/JP2004/004187 and was amended on
_____ (if applicable).

私は、上記の補正書によって補正された、特許請求範囲を含む上記明細書を検討し、且つ内容を理解していることをここに表明する。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編規則1.56に定義されている、特許性について重要な情報を開示する義務があることを認める。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

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私は、ここに、以下に記載した外国での特許出願または発明者証の出願、或いは米国以外の少なくとも一国を指定している米国法典第3編第365条(a)によるPCT国際出願について、同第119条(a)-(d)項又は第365条(b)項に基づいて優先権を主張するとともに、優先権を主張する本出願の出願日よりも前の出願日を有する外国での特許出願または発明者証の出願、或いはPCT国際出願については、いかなる出願も、下記の枠内をチェックすることにより示した。

Prior Foreign Application(s)
外国での先行出願

(Number)
(番号)

(Country)
(国名)

(Number)
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私は、ここに、下記のいかなる米国仮特許出願についても、その米国法典第35編119条(e)項の利益を主張する。

(Application No.)
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(出願日)

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(出願番号)

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(Application No.)
(出願番号)

(Filing Date)
(出願日)

(Status: Patented, Pending, Abandoned)
(現況 : 特許許可、係属中、放棄)

(Application No.)
(出願番号)

(Filing Date)
(出願日)

(Status: Patented, Pending, Abandoned)
(現況 : 特許許可、係属中、放棄)

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I hereby claim foreign priority under Title 35, United States Code, Section 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application for which priority is claimed.

Priority Not Claimed
優先権主張なし

(Day/Month/Year Filed)
(出願日／月／年)

(Day/Month/Year Filed)
(出願日／月／年)

I hereby claim the benefit under Title 35, United States Code, Section 119(a) of any United States provisional application(s) listed below.

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Status: Patented, Pending, Abandoned)
(現況 : 特許許可、係属中、放棄)

(Status: Patented, Pending, Abandoned)
(現況 : 特許許可、係属中、放棄)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Japanese Language Declaration
(日本語宣言書)

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POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number).

The attorneys and agents of Staas & Halsey LLP under USPTO Customer No. 21,171.

書類送付先

Send Correspondence to:
STAAS & HALSEY
1201 New York Ave., N.W.
Suite 700
Washington, D.C. 20005

直通電話連絡先：(氏名及び電話番号)

Direct Telephone Calls to: (name and telephone number).

Telephone: 202-434-1500
Facsimile: 202-434-1501

唯一または第一発明者氏名

Full name of sole or first inventor
Rainer HAINBERGER

発明者の署名

日付

Inventor's signature

Date

June 7, '06

住所

Residence
Wien, Austria

国籍

Citizenship
Austrian

郵便の宛先

Post Office Address
Puchsbaumgasse 62/21 1100 Wien, Austria

第二共同発明者がいる場合、その氏名

Full name of second joint inventor, if any
Shigeki WATANABE

第二共同発明者の署名

日付

Second inventor's signature

Date

住所

Residence
Kawasaki, Japan

国籍

Citizenship
Japanese

郵便の宛先

Post Office Address
c/o FUJITSU LIMITED,

1-1, Kamikodanaka 4-chome,
Nakahara-ku, Kawasaki-shi, Kanagawa 211-8588 Japan

(第三以下の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)